# 1) Sample application for a <u>NEW</u> licence under section 7 of the Animals (Control of Experiments) Ordinance to conduct experiments for research purpose

# ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

# FORM 1 Application Form

To: The D	irector of Health
I,	CHAN, Tai Man
of	Department of ABC, The University of ABC
on the grou	ands hereinafter mentioned, hereby apply for —
	(a) a Licence under section 7 of the Animals (Control of Experiments) Ordinance
	(Cap. 340).
	(b) an endorsement / thereto / *to my existing Licence No.
* <	dated/ under section 8 of the said Ordinance.
	(e) a teaching permit under section 9 of the said Ordinance.
	(d) an endorsement to / the said Licence / *my existing Licence No.
	dated / under section 10 of the said Ordinance.
Grounds fo	or application.
Drug A	is a newly developed anti-diabetic drug. The side effects of Drug A have to be found
out in a	rabbit model prior to clinical testing of the drug on human to ensure the safety of
clinical	testing.
Type of exp	periment(s).
Rabbits	will be used in the experiment. Drug A will be fed to the animals daily for two
weeks. 7	The animals will then be sacrificed. Liver tissues will be harvested for biochemical
assessm	ent.
Purpose of	experiment(s).
To study	the side effects of Drug A.
Place wher	re experiment(s) may be conducted.
Room X,	X/F, ABC Laboratory Building, The University of ABC, XX Road, Hong Kong
Qualification	ons of Applicant and any posts held.
PhD in I	Pharmacology, Research Associate
	Dated 1 October 2013
	Le
	Signed

\*Delete as appropriate.

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Ple	ase p	ut a 'tick' to the box against each of the followings which are applicable to your application.
1.	V	I have not been granted a licence for the experiment under application before; [please go to (2) and (3a or 3b) only] $\underline{OR}$
		I am the holder of a valid licence for the experiment under application; (Reference number of
		licence:) [please go to (2) and (4) only] <u>OR</u>
		I am not the holder of a valid licence for the experiment under application but I have been
		previously granted a licence for the experiment under application which has now expired.
		(Reference number of latest licence:) [please go to (2) and (5) only]
2.	$\checkmark$	I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of
		Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the
		form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of
		Health on or before the 1st day of January each year a return in the form set out as Form 7 in the
		Schedule to the Regulations of all experiments* performed by me during the preceding twelve
		months.
3.	(a)	Application for a licence without an "Endorsement to Enable Performance of Experiments
		without Anaesthetics or without Destroying the Animal" under section 10 of the said
		Ordinance –
	V	I confirm that throughout the whole of the experiment the animal is under the influence of some
		anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to
		continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on
		the animal, the animal is killed before it recovers from the influence of the anaesthetic which has
	_	been administered; AND
	V	I confirm that conditions/ well-being of the animals will be monitored during the experiment;
		AND
	V	I confirm that animals with signs of severe distress or pain will be euthanized before the end of the
		study; AND
	✓	I confirm that the following method(s) to be used for sacrificing the animals will not cause
		unnecessary/ prolonged pain to them:
		cervical dislocation ( under anaesthesia OR not under anaesthesia )
		$\square$ decapitation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia)
		✓ overdose of anaesthetic
		carbon dioxide asphyxiation
		exsanguinations under anaesthesia
		other(s), please specify:

(D)	without Anaesthetics or without Destroy		•
	Ordinance –	<b>g</b>	
	I confirm that the experiment would necessaril	v be frustr	ated by-
	the performance of such experiment unde	-	•
		-	performed before it recovers from the
	Please indicate why –		
4.	I confirm that the experimental procedures un	nder the ty	pe of experiment(s)* and the purpose of
	experiment(s)* are exactly the same as that of	of <b>my exis</b>	ting licence under the reference number
	quoted above; <u>AND</u>		
	I will not conduct any experiment* after the ex	piry date o	of my existing licence under the reference
	number quoted above until a new licence is is	sued AND	I have been keeping a proper Form 6 in
	accordance with regulation 4 of the Regulation	ıs.	
5.	I confirm that the experimental procedures un		
	experiment(s)* are exactly the same as that $a$	of <b>my prev</b> i	ious licence under the reference number
	quoted above; <u>AND</u>		
	I hereby declare that I have not conducted an		
	licence under the reference number quoted as		
	validity period of my previous licence under	the refere	nce number quoted above in accordance
	with regulation 4 of the Regulations.		
•	ment" means any experiment performed on a living v	ertebrate an	imal and calculated to give pain (section 2 of
the Anima	ds (Control of Experiments) Ordinance, Cap. 340).		
	**: CHAN Tai Man		Contact No.: 2345 6789
	ty Card/Passport/Travel Document No.: A123456(7)	)	Mobile No.: 9876 5432
Email Ada	dress: ctm@abc.com		(Facsimile) 2234 4321
	(( ABC ))		
		a. ·	- Cre
		Signed	
	(Institute/Company chop)***		(Applicant)

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

# 2) Sample application for a <u>NEW</u> endorsement under section 8 of the Animals (Control of Experiments) Ordinance to perform experiments for attaining manual skill

## ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

# FORM 1 Application Form

To: The	Director of Health				
I,	CHAN, Tai Man				
of	Department of ABC, The	Department of ABC, The University of ABC			
on the gr	rounds hereinafter mentioned	, hereby apply for —			
	(a) a Licence under sect	ion 7 of the Animals (Control of Experiments) Ordinance			
	(Cap. 340).				
	(b) an endorsement / the	reto / *to my existing Licence No.			
*	dated	/ under section 8 of the said Ordinance.			
	(c) a teaching permit un	der section 9 of the said Ordinance.			
	(d) an endorsement to /	he said Licence / *my existing Licence No.			
	dated	/ under section 10 of the said Ordinance.			
Grounds	s for application.				
The te	echnique of performing open	heart surgery is essential to cardiothoracic surgeons to			
perfor	rm such procedures in humar	. To improve clinical outcome, it is preferable to practise			
those	procedures in a pig model fin	st.			
Type of	experiment(s).				
Pigs v	will be used in the experime	nt. Under general anaesthesia, the procedures including			
heart	valve replacement and cor	onary artery bypass grafting will be performed on the			
anima	uls. The animals will be sacrij	iced before recovery from anaesthesia.			
Purpose	of experiment(s).				
To pro	actise open heart surgical tec	hniques using pigs.			
Place wh	here experiment(s) may be co	nducted.			
Room	X, X/F, ABC Laboratory But	lding, The University of ABC, XX Road, Hong Kong			
Qualifica	ations of Applicant and any p	osts held.			
PhD i	in Pharmacology, Research A	ssociate			
		Dated 1 October 2013			
		Signed			
*Delete as a	appropriate.				

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Ple	ase p	ut a 'tick' to the box against each of the followings which are applicable to your application.
1.	✓	I have not been granted a licence for the experiment under application before; [please go to (2) and $(3a \text{ or } 3b) \text{ only}]$ $OR$
		I am the holder of a valid licence for the experiment under application; (Reference number of
		licence:) [please go to (2) and (4) only] $\underline{OR}$
		I am not the holder of a valid licence for the experiment under application but I have been
		previously granted a licence for the experiment under application which has now expired.  (Reference number of latest licenses)
		(Reference number of latest licence:) [please go to (2) and (5) only]
2		I have by distance that is a second one with Developing A and 5 of the Asimala (Control of
2.	✓	I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of
		Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the
		form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of
		Health on or before the 1st day of January each year a return in the form set out as Form 7 in the
		Schedule to the Regulations of all experiments* performed by me during the preceding twelve
		months.
3.	(a)	Application for a licence without an "Endorsement to Enable Performance of Experiments
		without Anaesthetics or without Destroying the Animal" under section 10 of the said
		Ordinance –
	$\overline{\checkmark}$	I confirm that throughout the whole of the experiment the animal is under the influence of some
		anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to
		continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on
		the animal, the animal is killed before it recovers from the influence of the anaesthetic which has
		been administered; AND
	$\checkmark$	I confirm that conditions/ well-being of the animals will be monitored during the experiment;
		<u>AND</u>
	$\checkmark$	I confirm that animals with signs of severe distress or pain will be euthanized before the end of the
		study; <u>AND</u>
	$\checkmark$	I confirm that the following method(s) to be used for sacrificing the animals will not cause
		unnecessary/ prolonged pain to them:
		$\square$ cervical dislocation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		$\square$ decapitation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		☑ overdose of anaesthetic
		<ul><li>✓ overdose of anaesthetic</li><li>□ carbon dioxide asphyxiation</li></ul>
		•

( <b>D</b> )	without Anaesthetics or without Destroyi		•
	Ordinance –		
	I confirm that the experiment would necessarily	y be frustr	ated by-
	the performance of such experiment under	r any anae	esthetic; <u>AND/OR</u>
	☐ killing the animal on which such expe influence of any anaesthetic.	riment is	performed before it recovers from the
	Please indicate why –		
4.	I confirm that the experimental procedures un experiment(s)* are exactly the same as that of quoted above; <u>AND</u>	-	
	I will not conduct any experiment* after the experiment	piry date o	of my existing licence under the reference
	number quoted above until a new licence is is.	sued AND	I have been keeping a proper Form 6 in
	accordance with regulation 4 of the Regulation	s.	
5.	I confirm that the experimental procedures un	der the ty	pe of experiment(s)* and the purpose of
	experiment(s)* are exactly the same as that of	f my prev	ious licence under the reference number
	quoted above; <u>AND</u>		
	I hereby declare that I have not conducted an	y experim	ent* after the expiry date of my previous
	licence under the reference number quoted ab	ove AND	I have kept a proper Form 6 during the
	validity period of my previous licence under	the refere	nce number quoted above in accordance
	with regulation 4 of the Regulations.		
*"experii	ment" means any experiment performed on a living ve	ertebrate ar	nimal and calculated to give pain (section 2 of
the Anima	als (Control of Experiments) Ordinance, Cap. 340).		
Full nam	e**: CHAN Tai Man		Contact No.: 2345 6789
HK Ident	ity Card/Passport/ Travel Document No.: A123456(7)		Mobile No.: 9876 5432
Email Ad	dress: ctm@abc.com		(Facsimile) <b>2234 4321</b>
	ABC	Signed	Luc
	(Institute/Company chop)***		(Applicant)

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

# 3) Sample application for a <u>NEW</u> teaching permit under section 9 of the Animals (Control of Experiments) Ordinance to perform experiment to illustrate lectures

## ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

# FORM 1 Application Form

To: Th	Director of Health	
I,	CHAN, Tai Man	
of	Department of ABC, The University of ABC	
on the g	rounds hereinafter mentioned, hereby apply for —	
	(a) a Licence under section 7 of the Animals (Control of Experiments) Ordinar	ıce
	(Cap. 340).	
	(b) an endorsement / thereto / *to my existing Licence No.	
*	dated / under section 8 of the said Ordinance.	
	(c) a teaching permit under section 9 of the said Ordinance.	
	(d) an endorsement to / the said Licence / *my existing Licence No.	
	dated / under section 10 of the said Ordinance.	
Ground	for application.	
The i	espiratory system in pigs is physiologically similar to that in human. The respirate	ory
syste	n in pigs will be demonstrated to students to enhance their physiological knowledge.	
Type of	experiment(s).	
Pigs	will be used in the experiment. Under general anaesthesia, external sensors will	be
place	d in the upper airway and abdominal wall of the animals. The relationship between t	the
para	neters measured by the sensors in the airway and those on the abdominal wall will	be
exam	ned. After the experiment, the animals will be sacrificed before recovery fro	om
anae	thesia.	
Purpose	of experiment(s).	
To de	monstrate the physiology of respiratory system using a pig model.	
Place w	nere experiment(s) may be conducted.	
Roon	X, X/F, ABC Laboratory Building, The University of ABC, XX Road, Hong Kong	
Qualific	ations of Applicant and any posts held.	
PhD	n Pharmacology, Research Associate	
	Dated 1 October 2013	
	Signed	

\*Delete as appropriate.

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Ple	ase p	ut a 'tick' to the box against each of the followings which are applicable to your application.
1.	✓	I have not been granted a licence for the experiment under application before; [please go to (2) and $(3a \text{ or } 3b) \text{ only}]$ $OR$
		I am the holder of a valid licence for the experiment under application; (Reference number of
		licence:) [please go to (2) and (4) only] $\underline{OR}$
		I am not the holder of a valid licence for the experiment under application but I have been
		previously granted a licence for the experiment under application which has now expired.  (Reference number of latest licenses)
		(Reference number of latest licence:) [please go to (2) and (5) only]
2		I have by distance that is a second one with Developing A and 5 of the Asimala (Control of
2.	✓	I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of
		Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the
		form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of
		Health on or before the 1st day of January each year a return in the form set out as Form 7 in the
		Schedule to the Regulations of all experiments* performed by me during the preceding twelve
		months.
3.	(a)	Application for a licence without an "Endorsement to Enable Performance of Experiments
		without Anaesthetics or without Destroying the Animal" under section 10 of the said
		Ordinance –
	$\overline{\checkmark}$	I confirm that throughout the whole of the experiment the animal is under the influence of some
		anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to
		continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on
		the animal, the animal is killed before it recovers from the influence of the anaesthetic which has
		been administered; AND
	$\checkmark$	I confirm that conditions/ well-being of the animals will be monitored during the experiment;
		<u>AND</u>
	$\checkmark$	I confirm that animals with signs of severe distress or pain will be euthanized before the end of the
		study; <u>AND</u>
	$\checkmark$	I confirm that the following method(s) to be used for sacrificing the animals will not cause
		unnecessary/ prolonged pain to them:
		$\square$ cervical dislocation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		$\square$ decapitation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		☑ overdose of anaesthetic
		<ul><li>✓ overdose of anaesthetic</li><li>□ carbon dioxide asphyxiation</li></ul>
		•

( <b>D</b> )	without Anaesthetics or without Destroyi		•
	Ordinance –		
	I confirm that the experiment would necessarily	y be frustr	ated by-
	the performance of such experiment under	r any anae	esthetic; <u>AND/OR</u>
	☐ killing the animal on which such expe influence of any anaesthetic.	riment is	performed before it recovers from the
	Please indicate why –		
4.	I confirm that the experimental procedures un experiment(s)* are exactly the same as that of quoted above; <u>AND</u>	-	
	I will not conduct any experiment* after the ex	piry date o	of my existing licence under the reference
	number quoted above until a new licence is is.	sued AND	I have been keeping a proper Form 6 in
	accordance with regulation 4 of the Regulation	s.	
5.	I confirm that the experimental procedures un	der the ty	pe of experiment(s)* and the purpose of
	experiment(s)* are exactly the same as that of	f my prev	ious licence under the reference number
	quoted above; <u>AND</u>		
	I hereby declare that I have not conducted an	y experim	ent* after the expiry date of my previous
	licence under the reference number quoted ab	ove AND	I have kept a proper Form 6 during the
	validity period of my previous licence under	the refere	nce number quoted above in accordance
	with regulation 4 of the Regulations.		
*"experii	ment" means any experiment performed on a living ve	ertebrate ar	nimal and calculated to give pain (section 2 of
the Anima	als (Control of Experiments) Ordinance, Cap. 340).		
Full nam	e**: CHAN Tai Man		Contact No.: 2345 6789
HK Ident	ity Card/Passport/ Travel Document No.: A123456(7)		Mobile No.: 9876 5432
Email Ad	dress: ctm@abc.com		(Facsimile) <b>2234 4321</b>
	ABC	Signed	Luc
	(Institute/Company chop)***		(Applicant)

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

4) Sample application for a <u>NEW</u> endorsement under section 10 of the Animals (Control of Experiments) Ordinance to enable performance of experiments without anaesthetics or without destroying the animal

#### ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

# FORM 1

## Application Form

io: The L	Director of Health		
I,	CHAN, Tai Man		
of	Department of ABC, The University of ABC		
on the gro	ounds hereinafter mentioned,	hereby apply for —	
	(a) a Licence under section	on 7 of the Animals (Control of Experiments) Ordinance	
	(Cap. 340).		
	(b) an endorsement / there	eto / *to my existing Licence No.	
*	dated	/ under section 8 of the said Ordinance.	
	(c) a teaching permit under section 9 of the said Ordinance.		
	(d) an endorsement to / the said Licence / *my existing Licence No.		
	dated	/ under section 10 of the said Ordinance.	

#### Grounds for application.

Chronic pain is a prevalent disorder that affects severely the quality of life of patients after traumatic injury. The pathological pathway of inflammatory pain remains unknown. Some studies propose that substance X may be involved in pain syndromes. As the physiology of mice is very similar to human, mice will be used in this experiment in order to evaluate the role of substance X in peripheral and central nervous system and its pathophysiologic mechanisms.

### Type of experiment(s).

Mice will be used in the experiment. Inflammatory pain model will be established by injection of Freund's adjuvant and complete cell suspension or formalin into the hindpaws of the animals. An antagonist will be injected intrathecally before and after pain model establishment to determine substance X and its receptors in the peripheral and the central nervous systems. A catheter will be inserted into the spinal cord under anaesthesia to facilitate subsequent multiple intrathecal drug deliveries. Non-invasive thermal pain behavioural tests will be conducted. In brief, a thermal stimulus (maximum level< 30°C) will be applied onto the animals' hindpaws and the duration before paw withdrawal responses will be measured. During the experiment, conditions of the animals will be monitored. Animals with serious injury or showing significant pain will be euthanized by an overdose of anaesthetic. At the end of the experiment, the animals will be sacrificed by an overdose of anaesthetic, and tissues will be collected for further analysis.

Purpose of experiment(s).

To study the nociceptive effect of substance X in chronic pain syndromes and the underlying mechanisms of pain using animal models.

Place where experiment(s) may be conducted.

Room X, X/F, ABC Laboratory Building, The University of ABC, XX Road, Hong Kong

Qualifications of Applicant and any posts held.

PhD in Pharmacology, Research Associate

Dated 1 October 2013

Signed

<sup>\*</sup>Delete as appropriate.

4	n	n	or
$\overline{}$	"	"	E.A.

Plea	ise pi	ut a 'tick' to the box against each of the followings which are applicable to your application.
1.	<b>V</b>	I have not been granted a licence for the experiment under application before; [please go to (2) and (3a or 3b) only] $OR$
		I am the holder of a valid licence for the experiment under application; (Reference number of licence:) [please go to (2) and (4) only] <u>OR</u>
		I am not the holder of a valid licence for the experiment under application but I have been previously granted a licence for the experiment under application which has now expired.  (Reference number of latest licence:) [please go to (2) and (5) only]
2.		I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of Health on or before the 1st day of January each year a return in the form set out as Form 7 in the Schedule to the Regulations of all experiments* performed by me during the preceding twelve months.
3.	(a)	Application for a licence without an "Endorsement to Enable Performance of Experiments
		without Anaesthetics or without Destroying the Animal" under section 10 of the said
		Ordinance –
		I confirm that throughout the whole of the experiment the animal is under the influence of some
		anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to
		continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on
		the animal, the animal is killed before it recovers from the influence of the anaesthetic which has
		been administered; AND
		I confirm that conditions/ well-being of the animals will be monitored during the experiment;  AND
		I confirm that animals with signs of severe distress or pain will be euthanized before the end of the
		study; AND
		I confirm that the following method(s) to be used for sacrificing the animals will not cause
		unnecessary/ prolonged pain to them:
		$\square$ cervical dislocation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		$\square$ decapitation ( $\square$ under anaesthesia OR $\square$ not under anaesthesia )
		overdose of anaesthetic
		carbon dioxide asphyxiation
		exsanguinations under anaesthesia
		other(s), please specify:

<b>(b)</b>		ment to Enable Performance of Experiments the Animal' under section 10 of the said			
	Ordinance –	the riminal under section to of the said			
$\overline{\checkmark}$	I confirm that the experiment would necessarily be frustrated by-				
_	lacksquare the performance of such experiment under any anaesthetic; $AND/OR$				
		· ———			
	☐ killing the animal on which such experiment is performed before it recovers from the influence of any anaesthetic.				
		nent is to study the nociceptive effect of substance			
		ol will applied to the animals after chronic pain			
		from the animals is not affected by influence of			
	drugs before and after the behavioural tests.				
4.	I confirm that the experimental procedures unde	er the type of experiment(s)* and the purpose of			
	experiment(s)* are exactly the same as that of	my existing licence under the reference number			
	quoted above; <u>AND</u>				
	I will not conduct any experiment* after the expir	ry date of <b>my existing licence</b> under the reference			
	number quoted above until a new licence is issue	ed AND I have been keeping a proper Form 6 in			
	accordance with regulation 4 of the Regulations.				
5.	I confirm that the experimental procedures under	or the type of experiment(s)* and the purpose of			
	$experiment(s)^*$ are exactly the same as that of my previous licence under the reference number				
	quoted above; <u>AND</u>				
	I hereby declare that I have not conducted any	experiment* after the expiry date of my previous			
	licence under the reference number quoted above	ve AND I have kept a proper Form 6 during the			
	validity period of my previous licence under the	e reference number quoted above in accordance			
	with regulation 4 of the Regulations.				
*"experim	nent" means any experiment performed on a living verte	ebrate animal and calculated to give pain (section 2 of			
the Anima	ls (Control of Experiments) Ordinance, Cap. 340).				
Full name	**: CHAN Tai Man	Contact No.: 2345 6789			
HK Identit	ty Card/Passport/Travel Document No.: A123456(7)	Mobile No.: 9876 5432			
Email Add	lress: ctm@abc.com	(Facsimile) <b>2234 4321</b>			
	(ABC)				
		Signed			
	(Institute/Company chop)***	(Applicant)			

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

5) Sample application for <u>Renewal of an Existing Licence</u> under section 7 of the Animals (Control of Experiments) Ordinance to conduct experiments for research purpose

## ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

### FORM 1

## **Application Form**

To: The D	Director of Health				
I,	CHAN, Tai Man				
of	Department of ABC, The University of ABC				
on the grou	unds hereinafter mentioned, hereby apply for —				
	(a) a Licence under section 7 of the Animals (Control of Experiments) Ordinance				
	(Cap. 340).				
	(b) an endorsement / thereto / *to my existing Licence No.				
* ~	dated / under section 8 of the said Ordinance.				
	(e) a teaching permit under section 9 of the said Ordinance.				
	(d) an endorsement to / the said Licence / *my existing Licence No.				
	dated / under section 10 of the said Ordinance.				
Grounds fo	or application.				
Same as	s (11-1) in DH/HA&P/8/2/10 Pt.1				
Type of ex	speriment(s).				
Same as	s (11-1) in DH/HA&P/8/2/10 Pt.1				
Purpose of	f experiment(s).				
Same as	s (11-1) in DH/HA&P/8/2/10 Pt.1				
Place when	re experiment(s) may be conducted.				
Room X	, X/F, ABC Laboratory Building, The University of ABC, XX Road, Hong Kong				
Qualificati	ions of Applicant and any posts held.				
PhD in	Pharmacology, Research Associate				
	Dated 1 October 2013				
	Signed				
*Delete as appr					

Ann	ex
The sec	tion below is not part of Form 1 but information supplied would help avoid unnecessary delay in
process	ing your application.
Please ]	out a 'tick' to the box against each of the followings which are applicable to your application.
1.	I have not been granted a licence for the experiment under application before; [please go to (2) and (3a or 3b) only] <b>OR</b>
✓	
	I am not the holder of a valid licence for the experiment under application but I have been previously granted a licence for the experiment under application which has now expired.  (Reference number of latest licence:) [please go to (2) and (5) only]
2.	I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of Health on or before the 1st day of January each year a return in the form set out as Form 7 in the Schedule to the Regulations of all experiments* performed by me during the preceding twelve months.
3. (a	Application for a licence without an "Endorsement to Enable Performance of Experiments without Anaesthetics or without Destroying the Animal" under section 10 of the said
	Ordinance –
	I confirm that throughout the whole of the experiment the animal is under the influence of some
	anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to
	continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on
	the animal, the animal is killed before it recovers from the influence of the anaesthetic which has
	been administered; <u>AND</u>
	I confirm that conditions/ well-being of the animals will be monitored during the experiment;  AND
	I confirm that animals with signs of severe distress or pain will be euthanized before the end of the study; AND
	I confirm that the following method(s) to be used for sacrificing the animals will not cause

other(s), please specify:\_\_\_\_\_

 $\square$  decapitation ( $\square$  under anaesthesia OR  $\square$  not under anaesthesia)

unnecessary/ prolonged pain to them:

overdose of anaesthetic

☐ carbon dioxide asphyxiation

exsanguinations under anaesthesia

<b>(D)</b>	without Anaesthetics or without Destroying th	<del>-</del>	
	Ordinance –		
	I confirm that the experiment would necessarily be from	ustrated by-	
	the performance of such experiment under any anaesthetic; AND/OR		
	killing the animal on which such experiment influence of any anaesthetic.	t is performed before it recovers from the	
	Please indicate why –		
	, in the second		
<b>4</b> . <b>☑</b>	I confirm that the experimental procedures under the	te type of experiment(s)* and the purpose of	
	$experiment(s)^*$ are exactly the same as that of $my$	existing licence under the reference number	
	quoted above; <u>AND</u>		
	I will not conduct any experiment* after the expiry de	ate of my existing licence under the reference	
	number quoted above until a new licence is issued A	ND I have been keeping a proper Form 6 in	
	accordance with regulation 4 of the Regulations.		
5.	I confirm that the experimental procedures under th		
	experiment(s)* are exactly the same as that of $my$ $p$	previous licence under the reference number	
	quoted above; <u>AND</u>		
	I hereby declare that I have not conducted any expe		
	licence under the reference number quoted above A		
	validity period of my previous licence under the re	ference number quoted above in accordance	
	with regulation 4 of the Regulations.		
_	nent" means any experiment performed on a living vertebra	te animal and calculated to give pain (section 2 of	
the Anima	ls (Control of Experiments) Ordinance, Cap. 340).		
	**: CHAN Tai Man	Contact No.: 2345 6789	
	ty Card/Passport/ Travel Document No.: A123456(7)	Mobile No.: 9876 5432	
Email Ada	lress: ctm@abc.com	(Facsimile) <b>2234 4321</b>	
	ABC		
	(Institute/Company chop)***	(Applicant)	

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.

6) Sample application for <u>Renewal of an Expired licence</u> under section 7 of the Animals (Control of Experiments) Ordinance to conduct experiments for research purpose

## ANIMALS (CONTROL OF EXPERIMENTS) REGULATIONS

### FORM 1

## **Application Form**

To: The D	virector of Health				
Ι,	CHAN, Tai Man				
of	Department of ABC, The University of ABC				
on the grou	unds hereinafter mentioned, hereby apply for —				
	(a) a Licence under section 7 of the Animals (Control of Experiments) Ordinance				
	(Cap. 340).				
	(b) an endorsement / thereto / *to my existing Licence No.				
* <	dated / under section 8 of the said Ordinance.				
	(e) a teaching permit under section 9 of the said Ordinance.				
	(d) an endorsement to / the said Licence / *my existing Licence No.				
	dated / under section 10 of the said Ordinance.				
Grounds for	or application.				
Same as	s (11-2) in DH/HA&P/8/2/10 Pt.1				
Type of ex	periment(s).				
Same as	s (11-2) in DH/HA&P/8/2/10 Pt.1				
Purpose of	Experiment(s).				
Same as	s (11-2) in DH/HA&P/8/2/10 Pt.1				
Place when	re experiment(s) may be conducted.				
Room X	, X/F, ABC Laboratory Building, The University of ABC, XX Road, Hong Kong				
Qualificati	ons of Applicant and any posts held.				
PhD in	Pharmacology, Research Associate				
	Dated 1 October 2013				
	Signed				
*Delete as appr					

A	n	n	ex

and (3a or 3b) only] OR  □ I am the holder of a valid licence for the experiment under application; (Reference number of licence:	Plea	ase pi	it a 'tick' to the box against each of the followings which are applicable to your application.
1 am the holder of a valid licence for the experiment under application: (Reference number of licence:	1.		I have not been granted a licence for the experiment under application before; [please go to (2)
licence:			and (3a or 3b) only] <u>OR</u>
<ul> <li>✓ 1 am not the holder of a valid licence for the experiment under application but 1 have been previously granted a licence for the experiment under application which has now expired. (Reference number of latest licence: (11-2) in DH/HA&amp;P/R/2/10 Pt.1) [please go to (2) and (5) only]</li> <li>✓ I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of Health on or before the 1st day of January each year a return in the form set out as Form 7 in the Schedule to the Regulations of all experiments" performed by me during the preceding twelve months.</li> <li>(a) Application for a licence without an "Endorsement to Enable Performance of Experiments without Anaesthetics or without Destroying the Animal" under section 10 of the said Ordinance —</li></ul>			I am the holder of a valid licence for the experiment under application; (Reference number of
previously granted a licence for the experiment under application which has now expired.  (Reference number of latest licence: (11-2) in DH/HA&P/8/2/10 Pt. 1) [please go to (2) and (5) only]  2.   I hereby declare that in accordance with Regulations 4 and 5 of the Animals (Control of Experiments) Regulations, Cap.340A ("the Regulations"), I shall keep up-to-date a book in the form set out as Form 6 in the Schedule to the Regulations and I shall render to the Director of Health on or before the 1st day of January each year a return in the form set out as Form 7 in the Schedule to the Regulations of all experiments* performed by me during the preceding twelve months.  3. (a) Application for a licence without an "Endorsement to Enable Performance of Experiments without Anaesthetics or without Destroying the Animal" under section 10 of the said Ordinance —  I confirm that throughout the whole of the experiment the animal is under the influence of some anaesthetic of sufficient power to prevent the animal feeling pain; and if the pain is likely to continue after the effect of the anaesthetic has ceased, or if any serious injury has been inflicted on the animal, the animal is killed before it recovers from the influence of the anaesthetic which has been administered; AND  I confirm that conditions/ well-being of the animals will be monitored during the experiment; AND  I confirm that the following method(s) to be used for sacrificing the animals will not cause unnecessary/ prolonged pain to them:    cervical dislocation (   under anaesthesia OR   not under anaesthesia )    decapitation (   under anaesthesia OR   not under anaesthesia )    overdose of anaesthetic    carbon dioxide asphyxiation    exsanguinations under anaesthesia			licence:) [please go to (2) and (4) only] <u>OR</u>
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exsanguinations under anaesthesia			
other(s) nlease specity:			other(s), please specify:

(1	b)	Application for a licence with an "Endor without Anaesthetics or without Destroy		-	
		Ordinance –			
		I confirm that the experiment would necessarily be frustrated by-			
	_	the performance of such experiment under		•	
			•	performed before it recovers from the	
		Please indicate why –			
4.		I confirm that the experimental procedures un	nder the ty	pe of experiment(s)* and the purpose of	
		$experiment(s)^*$ are exactly the same as that $e$	of <mark>my exist</mark>	ting licence under the reference number	
		quoted above; <u>AND</u>			
		I will not conduct any experiment* after the ex			
		number quoted above until a new licence is is		I have been keeping a proper Form 6 in	
		accordance with regulation 4 of the Regulation	ns.		
5.	<u> </u>	I confirm that the experimental procedures u	nder the to	no of experiment(s)* and the purpose of	
J. L		experiment(s)* are exactly the same as that $\alpha$			
		quoted above; <u>AND</u>	) my previ	ous were under the reference number	
<u> </u>		I hereby declare that I have not conducted ar	ıv exnerime	ent* after the expiry date of my previous	
_		licence under the reference number quoted a			
		validity period of my previous licence under			
		with regulation 4 of the Regulations.		<b>,</b>	
*"expe	rim	ent" means any experiment performed on a living v	ertebrate an	imal and calculated to give pain (section 2 of	
the Ani	mal	s (Control of Experiments) Ordinance, Cap. 340).			
Full na	me'	**: CHAN Tai Man		Contact No.: 2345 6789	
HK Ide	ntit	y Card/Passport/ Travel Document No.: A123456(7,	)	Mobile No.: 9876 5432	
Email A	Add	ress: ctm@abc.com		(Facsimile) <b>2234 4321</b>	
		((ABC))			
			Signed	tre	
		(Institute/Company chop)***	•	(Applicant)	

<sup>\*\*</sup> Full name as appears on HK Identity Card/Passport/Travel Document

<sup>\*\*\*</sup> Please obtain an official chop of the Institute/ Company where you are working or studying.